## SRI LANKA STANDARDS INSTITUTION APPLICATION FOR THE CERTIFICATION OF QUALITY MANAGEMENT SYSTEM IN ACCORDANCE WITH SLS ISO 9001:2015 STANDARD

...

|  |                                 | tor office use                       |  |  |  |
|--|---------------------------------|--------------------------------------|--|--|--|
| The Director General   |                                 | DATE RECEIVED REFERENCE NUMBER       |  |  |  |
| SRI LANKA STANDARDS INSTITUTI  | ON                              |                                      |  |  |  |
| No. 17, Victoria Place   |                                 | NEW CERTIFICATION<br>RECERTIFICATION |  |  |  |
| Elvitigala Mawatha   |                                 |                                      |  |  |  |
| COLOMBO 08   |                                 |                                      |  |  |  |
| SRI LANKA  |                                 |                                      |  |  |  |
| I/We hereby apply for the certification of 9   | Quality Management Syste        | em established in                    |  |  |  |
|  | egistered name of the Applicant | Organization]                        |  |  |  |
| The particulars of my/our organization are   | e given below:                  |                                      |  |  |  |
| 1. GENERAL:  |                                 |                                      |  |  |  |
| 1.1. Address (Head Office):  |                                 |                                      |  |  |  |
|  |                                 |                                      |  |  |  |
| Telephone:   | Fax:                            | E-mail:                              |  |  |  |
| <ul><li>1.2. Legal status of the organization :</li><li>a) Registration authority:</li></ul> |                                 |                                      |  |  |  |
| b) Registration number:  |                                 |                                      |  |  |  |
| (Attach a copy of the certifica  | te of Business Registratior     | h)                                   |  |  |  |
| 1.3. VAT registration number:  |                                 |                                      |  |  |  |
| 1.4. If SVAT, SVAT number:   | If SVAT, SVAT number:           |                                      |  |  |  |
| **(Attach SVAT approval letter fr  | om the Department of In         | land Revenue)                        |  |  |  |
|  |                                 |                                      |  |  |  |

# 2. LOCATION(S)/SITES, DEPARTMENTS/DIVISIONS AND THE NUMBER OF EMPLOYEES APPLICABLE FOR THE CERTIFICATION:

[Please indicate the permanent physical locations (subsidiaries, branches, warehouses etc.) registered under the Applicant Organization, which are to be included in the ISO 9001 certification. Attach a separate sheet for temporary locations (*eg.: Construction Projects*)]

| Name and address of the<br>location/site | Name, designation & contact<br>details (Telephone /e-mail) of<br>the representative at each<br>location/site | Departments/Divisions at<br>each location/site<br>(eg.: Management, Design,<br>Production,<br>Quality Assurance, Human<br>Resources, etc.) | Total<br>effective<br>number of<br>employees** |
|--|--|--|--|
| Head Office                              |  |  |  |
| Location 1                               |  |  |  |
| Location 2                               |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

<sup>[</sup>If required, Please attach a separate sheet]

<sup>\*\*</sup> The effective number of employees consists of all full time employees involved within the scope of certification including those working on each shift. Non-permanent (seasonal, temporary and contracted employees) and part time employees who will be present at the time of the audit shall be included in this number).

2.1. Whether product or service realization processes operate on a shift basis,

| Location/Site | Number       | Working hours of each | Number     | Activities carried out in |
|---------------|--------------|-----------------------|------------|---------------------------|
|               | of shifts in | shift                 | of         | each shift                |
|               | operation    |                       | employees  |                           |
|               |              |                       | working in |                           |
|               |              |                       | a shift    |                           |
| Head office   | 1            | From to               |            |                           |
|               | 2            | From to               |            |                           |
|               | 3            | From to               |            |                           |
|               | 4            | From to               |            |                           |
| Location 1    | 1            | From to               |            |                           |
|               | 2            | From to               |            |                           |
|               | 3            | From to               |            |                           |
|               | 4            | From to               |            |                           |
| Location 2    | 1            | From to               |            |                           |
|               | 2            | From to               |            |                           |
|               | 3            | From to               |            |                           |
|               | 4            | From to               |            |                           |

[If required, Please attach a separate sheet]

#### 3. QUALITY MANAGEMENT SYSTEM

3.1. Description of products manufactured and/or services offered: (if multiple sites are available, please specify the products manufactured and/or services offered in each site separately)

| Site        | Product(s) manufactured and/or service(s) offered |
|-------------|---|
| Head office |   |
| Location 1  |   |
| Location 2  |   |
|             |   |
|             |   |
|             |   |

[If required, Please attach a separate sheet]

3.2 Scope of QMS implemented, and justification for exclusion(s) of any clause(s) of the ISO 9001:2015 standard:

#### 3.3 Description of manufacturing process(s) and/or service(s) which has been outsourced to an external party(s):

| 3.4 De            | esired scope of ISO 9001:2015 certification:   |
|-------------------|--|
|                   |  |
|                   |  |
|                   |  |
| • • • • • • • •   |  |
|                   |  |
| * * * * * * * * * |  |
| 3.5 Ty            | Tpe of certification [New Certification or Recertification]:   |
|                   |  |
| 3.6 If            | Recertification:   |
| a)                | Date of first certification:   |
| b)                | Validity period of previous certification: From  |
| c)                | Major changes done in the Quality Management System during the previous year [if any]:                           |
|                   |  |
|                   |  |
|                   |  |
|                   | uality Management System of the organization is developed by [outside consultant(s) and/or organization<br>elf]: |

### 4. ANY OTHER SYSTEMS CERTIFICATION(S) OBTAINED BY THE ORGANIZATION:

4.1 Other Management systems certification(s) obtained by the organization.

| STANDARD                      | CERTIFIED<br>(YES /NO) | CERTIFICATION BODY |
|-------------------------------|------------------------|--------------------|
| ISO 14001 (EMS)               |                        |                    |
| ISO 22000 (FSMS)              |                        |                    |
| ISO 45000(OHSMS)              |                        |                    |
| ISO 50000 (ENMS)              |                        |                    |
| НАССР                         |                        |                    |
| GMP                           |                        |                    |
| ANY OTHER (PLEASE<br>SPECIFY) |                        |                    |

|                           |  |  | Yes           | No                                    |
|---------------------------|--|--|---------------|---------------------------------------|
| 4.2                       | Does the organization hold a                 | any Product Certification?   |               |                                       |
|                           | If yes ;<br>Please specify the certification | n with the type of product and r   | elevant stand | lard:                                 |
|                           |  |  |               |                                       |
|                           |  |  |               |                                       |
|                           |  |  |               |                                       |
|                           |  |  |               |                                       |
| 5. LIAISC                 | N OFFICER                                    |  |               |                                       |
| 5.1. Cl                   | nief Executive Officer of the A              | pplicant Organization:   |               |                                       |
| a)                        | Name:  |  |               |                                       |
|                           | Designation:                                 |  |               |                                       |
|                           | Telephone:                                   | Fax:   |               | . E-mail:                             |
| 5.2. Co                   | ontact person of the organizati              | on:  |               |                                       |
| a)                        | Nominee 1 [Name]:                            |  | • •           |                                       |
|                           | Designation:                                 |  |               |                                       |
|                           | Telephone:                                   | Fax:   |               | E-mail:                               |
| b)                        | Nominee 2 [Name]:                            |  |               |                                       |
|                           | Designation:                                 |  |               |                                       |
|                           | Telephone:                                   | Fax:   |               | E-mail:                               |
| [Please i                 |  | be abide by the Applicant Organiz<br>fic regulations, compulsory product certi | -             | od regulations, CAA regulations, NMRA |
|                           |  |  |               |                                       |
| * * * * * * * * * * * * * |  |  |               |                                       |
|                           |  |  |               |                                       |
|                           |  |  |               |                                       |
|                           |  |  |               |                                       |
| 7. DOCU                   | MENTED INFORMATION                           |  |               |                                       |

Please submit copies of the following documents along with the duly perfected Application.

- a) Desired Scope of the organization, and justification for any exclusion;
- b) Operational planning and control.

#### 8. DECLARATION BY APPLICANT

- 8.1 I am/We are fully informed and in agreement with the contents of the following documents of the Quality Management System Certification Scheme of the Sri Lanka Standards Institution; Rules and Procedures, Guidelines for Applicants, Fee Schedule, Certification Agreement, Audit time determination agreement and Conditions For Use of the Quality Management System Certification Mark.
- 8.2 Should any initial enquiry be made by the Certifying Authority, I/We agree to extend to the Certifying Authority all required facilities at my/our command and I/We agree to pay all costs involved prior to the grant of the Certificate.
- 8.3 I/We will not hold liable either the Sri Lanka Standards Institution or those having a function in its activities for damages resulting from the consideration of the application for certification, including the possible rejection.

| Signed at    |              |                                  |
|--------------|--------------|----------------------------------|
| on this      | day of       |                                  |
| Signature    | :            |                                  |
| Name         | :            |                                  |
| Designation  | :            |                                  |
| For and on b | ehalf of[Nam | e of the Applicant Organization] |